Quasisymmetry Groups of Finitely Ramified Fractals



Jim Belk, University of Glasgow

Analysis Seminar, 11 May 2023

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Joint Work



Bradley Forrest Stockton University

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Quasiconformal Geometry

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For a linear transformation $T : \mathbb{R}^n \to \mathbb{R}^n$, let

$$\lfloor T \rfloor = \min_{v \neq 0} \frac{\|Tv\|}{\|v\|}$$
 and $\lceil T \rceil = \max_{v \neq 0} \frac{\|Tv\|}{\|v\|}$

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The ratio [T]/[T] is a measure of *eccentricity*.

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A diffeomorphism $f: U \to U'$ between open subsets of \mathbb{R}^n is *quasiconformal* if the function

$$p \longmapsto \frac{[Df_p]}{[Df_p]}$$

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is bounded on U.

Note: If
$$\frac{[Df_p]}{[Df_p]} \equiv 1$$
 then *f* is **conformal** (or anticonformal).

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Note 2: This definition can be extended to non-differentiable homeomorphisms.

Applications of Quasiconformal Geometry

- Teichmüller theory: Defines a metric on the Teichmüller space of a hyperbolic surface (Teichmüller 1940). Leads to a proof of the Nielsen–Thurston classification of mapping classes (Bers 1978).
- ▶ **Mostow rigidity:** For $n \ge 3$, if X and Y are closed hyperbolic *n*-manifolds and $\pi_1(X) \cong \pi_1(Y)$ then X and Y are isometric (Mostow 1968).
- No wandering domains: Every component of the Fatou set for a rational map on the Riemann sphere is periodic or pre-periodic (Sullivan 1985).

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Applications of Quasiconformal Geometry

- ► Geometric group theory: Any finitely generated group which is quasi-isometric to Hⁿ has a geometric action on Hⁿ (Tukia 1986, Gromov 1987, Cannon–Cooper 1992).
- Characteristic classes: Every *n*-manifold (n ≠ 4) supports a unique quasiconformal structure (Sullivan 1978). This allows a theory of characteristic classes for such manifolds (Connes–Sullivan–Teleman 1994).
- Elliptic PDE's: Solution to Calderón's problem on electrical impedance tomography in two dimensions (Astala–Päivärinta 2006).

Quasisymmetries

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Let $f: D^2 \to D^2$ be a homeomorphism which is quasiconformal on the interior.

What can the restriction of f to S^1 look like?



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Theorem (Beurling–Ahlfors 1956)

A homeomorphism $f: S^1 \to S^1$ is a restriction of a quasiconformal map on D^2 iff there exists a homeomorphism $\eta: [0, \infty) \to [0, \infty)$ so that

$$\frac{\|f(a) - f(b)\|}{\|f(a) - f(c)\|} \le \eta \left(\frac{\|a - b\|}{\|a - c\|}\right)$$

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for every triple a, b, c of distinct points in S^1 .

General Definition

Tukia and Väisälä (1980) observed that the Beurling–Ahlfors condition makes sense for homeomorphisms $f: X \to Y$ between arbitrary metric spaces.

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General Definition

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Definition

A homeomorphism $f: X \to Y$ is a **quasisymmetry** if there exists a homeomorphism $\eta: [0, \infty) \to [0, \infty)$ such that

$$\frac{d(f(a), f(b))}{d(f(a), f(c))} \le \eta \left(\frac{d(a, b)}{d(a, c)}\right)$$

for every triple *a*, *b*, *c* of distinct points in *X*.

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Note: The quasisymmetries $X \rightarrow X$ form a group.

Examples



$$\frac{d(f(a), f(b))}{d(f(a), f(c))} \le \eta\left(\frac{d(a, b)}{d(a, c)}\right)$$

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If f is bilipschitz with

$$\frac{1}{K}d(x,x') \le d\big(f(x),f(x')\big) \le K\,d(x,x')$$

then *f* is quasisymmetric with $\eta(t) = K^2 t$.

Examples



The function $f(x) = x^{1/3}$ is a quasisymmetry of [-1, 1], with

$$\eta(t) = \begin{cases} 6t^{1/3} & \text{if } 0 \le t \le 1\\ 6t & \text{if } t > 1. \end{cases}$$

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A Non-Example



$$\frac{d(f(a), f(b))}{d(f(a), f(c))} \le \eta\left(\frac{d(a, b)}{d(a, c)}\right)$$

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This function is **not** a quasisymmetry of [-1, 1].

A Non-Example



$$\frac{d(f(a), f(b))}{d(f(a), f(c))} \le \eta\left(\frac{d(a, b)}{d(a, c)}\right)$$

For $a = 0, b = \varepsilon$, and $c = -\varepsilon$, we have

$$\frac{d(f(a), f(b))}{d(f(a), f(c))} = \frac{\varepsilon^{1/3}}{\varepsilon} = \frac{1}{\varepsilon^{2/3}} \quad \text{and} \quad \frac{d(a, b)}{d(a, c)} = 1.$$

Let $f: U \to U'$ be a homeomorphism between domains in \mathbb{R}^n .



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Theorem (Väisälä 1981)

If f is quasiconformal then f restricts to a quasisymmetry on every compact subset of U.

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Theorem (Egg Yolk Principle, Väisälä 1981)

The following are equivalent:

- 1. f is quasiconformal.
- 2. There exists an $\eta : [0, \infty) \to [0, \infty)$ so that *f* is η -quasisymmetric on every "egg yolk" in *U*.

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Relation to Hyperbolic Groups

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A group is *hyperbolic* if its Cayley graph satisfies Gromov's thin triangles condition.

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Every hyperbolic group *G* has a **boundary** $\partial_{\infty}G$.





Sierpiński carpet

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 $\partial_{\infty}G$

Whyburn's Theorem (1958)

If D_1, D_2, \ldots are disjoint closed topological disks in S^2 with $\bigcup_{n \in \mathbb{N}} D_n$ dense and diam $(D_n) \rightarrow 0$, then the complement of their interiors is homeomorphic to the Sierpiński carpet.





Sierpiński carpet

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 $\partial_{\infty}G$

Quasi-Isometries

Theorem (Bonk–Schramm 2000)

Any quasi-isometry $G \to H$ between hyperbolic groups induces a quasisymmetry $\partial_{\infty}G \to \partial_{\infty}H$.



Let *G* be a hyperbolic group.

Cannon's Conjecture (1994)

If there exists a homeomorphism $\partial_{\infty}G \to S^2$, then G acts geometrically on \mathbb{H}^3 .



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Note: Kapovich–Kleiner (1998) have formulated an analog of Cannon's conjecture for groups with Sierpiński carpet boundary.

By the Way

Theorem (Dahmani–Guirardel–Przytycki 2011)

The boundary of a "random" hyperbolic group is homeomorphic to the Menger sponge.



figure by Niabot from Wikimedia Commons

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We want to understand quasisymmetries for fractals homeomorphic to the Sierpiński carpet.



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Theorem (Bonk–Merenkov 2013)

The quasisymmetry group of the square Sierpiński carpet is dihedral of order 8.



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The quasisymmetry group of the square Sierpiński carpet is dihedral of order 8.



The full homeomorphism group is very large.

Other Sierpiński carpets can have many quasisymmetries.



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So the quasisymmetry group depends on the metric.

A round carpet is a Sierpiński carpet whose holes are round disks.



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Rigidity Theorem (Bonk–Kleiner–Merenkov 2009)

Any quasisymmetry between round carpets of Lebesgue measure zero must be a Möbius transformation.

In particular, the quasisymmetry group of such a carpet is the group of conformal homeomorphisms.

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Rigidity Theorem (Bonk–Kleiner–Merenkov 2009)

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Uniformization Theorem (Bonk 2011)

A Sierpiński carpet is quasisymmetrically equivalent to a round carpet if and only if:

- 1. The holes are uniform quasicircles, and
- 2. The holes are uniformly relatively separated.

Sierpiński Carpet Julia Sets

Sierpiński carpets also arise as Julia sets for certain rational functions (Milnor–Lei 1993).



$$f(z) = z^2 - \frac{1}{16z^2}$$

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Julia Sets

Every rational function on the Riemann sphere has a *Julia set* (the closure of the repelling periodic points).



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Sierpiński Carpet Julia Sets

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Theorem (Bonk–Lyubich–Merenkov 2016)

Let f(z) be a rational function whose Julia set J_f is a Sierpiński carpet. If f is postcritically finite, then the quasisymmetry group of J_f is finite.

Qiu, Yang, and Zeng (2019) extend this to a large family of semi-hyperbolic Sierpiński carpet Julia sets.

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The Basilica

The **basilica** is the Julia set for $f(z) = z^2 - 1$



Theorem (Lyubich–Merenkov 2018)

The quasisymmetry group of the basilica is infinite.

Thompson's group T is the group of all piecewise-linear homeomorphisms of the circle \mathbb{R}/\mathbb{Z} for which:

- 1. All slopes are powers of 2, and
- 2. All breakpoints are dyadic rationals, as is the image of 0.



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In 2015, Bradley Forrest and I described a natural action of T on the basilica.



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The basilica Thompson group is finitely generated, co-embeddable with *T*, and has an index-two subgroup which is simple.

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Theorem (Lyubich–Merenkov 2018)

All elements of the basilica Thompson group are quasisymmetries.

Other Julia Sets

Can we extend this to other Julia sets?



Julia set for $f(z) = z^2 - 0.157 + 1.032i$

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Roughly speaking, a fractal is *finitely ramified* if it is made from pieces (called *cells*) that have finitely many boundary points.



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Not finitely ramified

Definition (Teplyaev 2008)

Let X be a compact, connected metrizable space.

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Let *X* be a compact, connected metrizable space.

For each $n \ge 0$, fix a finite collection of subsets of *X* (the *n-cells*).

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One 0-cell

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Three 1-cells

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Definition (Teplyaev 2008)

Let X be a compact, connected metrizable space.

For each $n \ge 0$, fix a finite collection of subsets of X (the *n-cells*).



Nine 2-cells

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These define a *finitely ramified fractal* if:

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2.

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- 1. Each *n*-cell is compact, connected, and has nonempty interior.
- 2. The intersection of any two *n*-cells is finite.
- 3. The entire space *X* is the unique 0-cell, and every *n*-cell is a union of (*n* + 1)-cells.

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- 2. The intersection of any two *n*-cells is finite.
- 3. The entire space *X* is the unique 0-cell, and every *n*-cell is a union of (*n* + 1)-cells.
- 4. If $E_0 \supseteq E_1 \supseteq E_2 \supseteq \cdots$ with each E_n an *n*-cell, then $\bigcap_{n=0} E_n$ is a single point.

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The basilica Julia set can be viewed as a finitely ramified fractal.



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Julia sets for polynomials tend to be finitely ramified.



Julia set for $f(z) = z^2 - 1$

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Julia set for $f(z) = z^2 - 0.157 + 1.032i$

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Julia set for $f(z) = z^3 - 0.21 + 1.09i$

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Julia sets for rational functions are sometimes finitely ramified.



Julia set for
$$f(z) = z^2 - \frac{1}{16z^2}$$

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Julia sets for rational functions are sometimes finitely ramified.



Julia set for
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Julia set for
$$f(z) = \frac{e^{z(z)/3}z^2 - 1}{z^2 - 1}$$
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A metric on a finitely ramified fractal X is **undistorted** if:

- 1. It has exponential cell decay, and
- 2. The cells have uniform relative separation.

Theorem (B–Forrest 2023)

1. All undistorted metrics on X are quasisymmetrically equivalent.

2. Any metric quasisymmetrically equivalent to an undistorted metric is undistorted.

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Exponential Cell Decay:

There exist constants 0 < r < R < 1 and $C \ge 1$ so that

$$\frac{r^{|m-n|}}{C} \le \frac{\operatorname{diam}(E')}{\operatorname{diam}(E)} \le CR^{|m-n|}$$

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for any m-cell E and n-cell E' that intersect.

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Uniform Relative Separation:

There exists a constant $\delta > 0$ so that

 $d(E,E') \geq \delta \operatorname{diam}(E)$

for any two n-cells E and E' that are disjoint.

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- 1. All undistorted metrics on X are quasisymmetrically equivalent.
- 2. Any metric quasisymmetrically equivalent to an undistorted metric is undistorted.

Corollary

If X and Y have undistorted metrics, a homeomorphism $f : X \rightarrow Y$ is a quasisymmetry if and only if the pushforward of the metric on X is undistorted.



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Bandt and Retta (1992) proved that the Sierpiński triangle T is **topologically rigid**, i.e. every homeomorphism of T maps *n*-cells to *n*-cells.



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Uniformization Theorem (B–Forrest 2023)

A Sierpiński triangle is quasisymmetrically equivalent to the standard one if and only if its metric is undistorted.

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We obtain a similar uniformization theorem for any topologically rigid fractal.

Applications to Julia Sets

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A rational function f(z) is **hyperbolic** if the forward orbit of each critical point converges to an attracting cycle.

Such maps are expanding on their Julia set with respect to an appropriate metric.

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All of our results apply only to hyperbolic rational functions f whose Julia sets J_f are connected.

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If *S* is finite and invariant (i.e. $f(S) \subseteq S$), then the iterated preimages $f^{-n}(S)$ cut J_f into cells.



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Theorem (B–Forrest 2023)

If J_f has a finite invariant branch cut, the resulting cells define a finitely ramified cell structure on J_f , and the restriction of the spherical metric is undistorted.

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Theorem (B–Forrest 2023)

If J_f has a finite invariant branch cut, the resulting cells define a finitely ramified cell structure on J_f , and the restriction of the spherical metric is undistorted.

Note: In the polynomial case, a finite invariant branch cut always exists.

Consider two cells in a finitely ramified fractal X:



A homeomorphism $E \to E'$ is *cellular* if it maps (m + k)-cells to (n + k)-cells for all $k \ge 0$.

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A homeomorphism of *X* is *piecewise-cellular* if there exist subdivisions

 $\{E_1, ..., E_n\}$ and $\{E'_1, ..., E'_n\}$

of X into cells so that each E_i maps to E'_i by a cellular map.



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Theorem (B–Forrest 2023)

If the metric on X is undistorted, then any piecewise-cellular homeomorphism of X is a quasisymmetry.
Constructing Quasisymmetries

A homeomorphism of *X* is *piecewise-cellular* if there exist subdivisions

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Theorem (B–Forrest 2023)

If the metric on X is undistorted, then any piecewise-cellular homeomorphism of X is a quasisymmetry.

This lets us construct quasisymmetries for many different Julia sets.

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Theorem (B–Forrest 2023)

Any connected Julia set for a hyperbolic quadratic polynomial has infinitely many quasisymmetries.



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Julia set for $f(z) = z^2 - 1$

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Theorem (B-Forrest 2023)

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Julia set for $f(z) = z^2 - 0.157 + 1.032i$

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Theorem (B–Forrest 2023)

Any connected Julia set for a hyperbolic quadratic polynomial has infinitely many quasisymmetries.

Specifically, we use "ping-pong lemmas" to show that the quasisymmetry group contains:

- A free product $\mathbb{Z}_2 * \mathbb{Z}_n$ for some $n \ge 2$, and
- ► Thompson's group *F*.

All of our constructed quasisymmetries are piecewise-cellular.

We can also show that many other finitely ramified Julia sets have infinite quasisymmetry group.



Julia set for $f(z) = z^3 - 0.21 + 1.09i$

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contains $\mathbb{Z}_3 * \mathbb{Z}_2$

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Julia set for
$$f(z) = \frac{1}{z^2} - 1$$

However, some hyperbolic rational functions have a finitely ramified Julia set with only finitely many homeomorphisms.



dihedral of order 8

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Julia set for
$$f(z) = \frac{e^{2\pi i/3}z^2 - 1}{z^2 - 1}$$

Also, we conjecture that some hyperbolic polynomials have Julia sets with finite quasisymmetry group.



Julia set for $f(z) = (4.424 + 1.374i)(z^3 - 3z + 2) - 1$

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